

6SHFLDO & LUFXPVWDQFHV)RUP

% O DCFN 2 Q O \

7 K H S H F & I D O F X P V M R D P Q V F R W W X G Z K Q W D W H H F H S W F L R U G F D O V Z / K D O F U H R W D N L Q O V R F R W Q W R
W K H L Q L G S L S O R & F R I P S O H W H R W R L Q G L F D W L Q J \ R X P L W L I U W X W R W W D I C F H V I L D F O H Z R W X I E Q D
W K H X U H C T R F X P H Q I W D W W R H Q D O X F O W H H B U D G G L W L V R Q L D O V B Q I F H Z V S H F L I D O F X P V M L D O O F H
W D S C H D F H W I R D F R P S O H W H) \$) 6 3 O H Q R M O D O R P X V E W L J Q H Q K Q G Z U L I V V Q B I O X U H
E O D F N L G N U R G G U D O U H J X O D W L R Q V Z H D U H X Q D E O H W R C R F U F H S W Q V W S X H Q V L B
H O H F W F U S H Q 3 O H D V H I V D V O G R Z U U H E X M Z Q

6 S H F L I D O F X P V W D E Q P L H W W W H F V) D O R O D U 6 S U L P Q I Q R E W D E O W R H S U R F H V V H G

6 W X G H Q W , Q I R U P D W L R Q

BB
6 W X G H Q W 1 D P H 6 W X G H Q W , ' 3 K R Q H 1 X P E H U F L R Q F H O X G H D

% O & K D Q J H R I) L Q D Q F L D O & L U F X P V W D Q F H V

5 H T X L U H G G R F X P H Q W D W L R Q
x ' H W D S E H G R Q D O I F W D F W H P H R O D F R H P S O R \ E G O F X G H Z R Q W D V Q S V U H T X H Q F \
x & R P S O R W K H C U L I L S D R F L R Q W K K E P L W W D Q G D U L G L F R W L R C H H W Z L W K U H T X L U H
G R F X P H Q W D W L R Q U L I L S D W X E R O H R S O W I R P R U I Q I R U P D F R L R S O W W H O J L I L F D W L R Q
S U R F D I Q G G L W H R Q G D F X P H Q W D W L R Q
x 6 X E F L W J C R C R I R X B R X S D U H Q G V S H Q G H V D I H W X Q G O : V
x 6 X E F L R F X P H Q W D W L R H O S O R R H C U L I O F R Q W F R P H R M G D S D H U D O G R E Y H U D Q F H S D \
D P R X Q W
x 6 X E F L R S H V P R V W U X H F G Q V S D Y W X E V F X W R P I Q W

5 H T X L U H G G R F X P H Q W D W L R Q
x \$ W W D F R I S D \ R X U 6 F K H \$ X O R P I U R P) M G H I W D O H W X U Q ([S H Q V H V D U H F R Q V L G H
H [F H H G R W K D I P L O Q I F R P S H Q V H V P X L W K D I S S F H W O H R \$ P
x \$ W W D M F R O (W - G X 0 0

S U H P L G P D P R Q Q W V F R Y H U H C R E W F R Q V X G I D Q H E Z L O O
& K D Q J H V W R I L Q D Q F H V I G W F W R R G L O R U E F H V X G H P L W K W H G W K U R X J K R W R M 3 D U

9HULILFDWLRQV

3ULRU WR VWDUWLQJ \RXU VSHFLDO FLUFXPVWDQFHV UHYLHZ \RX ZLOO EH
DV WKH 9HULILFDWLRQ 3URFHVV 7R FRPSOHWH HWKHZOHVUPLQDMMRQ SBRK
UHTXL306 DVH QIRWFHWK EIVWRTQ LUH WR FDQGZQLWZHW KVLJQDWXUHV LQ EO
(OHFWURQLF W\SHG VLJQDWXUHV DQG VLJQDWXUHV PDGH ZLWK DQ HOHFW

)RU 'HSHQGHW VWXGHQW

- v 7KH 'HSHQGHW 6WDQG DUG 9HULILFDWLRQ ZRUNVKHHW
- v 6XEPLW D VLJQHG FRSL RI \RXU: V WDDSSHWRD EOHG DFCR WR DRFDWH V
5HWXUQ RU : V \RX PD\ UHTXHVW FRSLHV RI \RXU WD\ GRFXPHQWV
- v 6XEPLW D VLJQHG FRSL RI \RXU SDUHQW LGHSDG HFWXUWV DGHQW OVO DU
WR ORFDW FDWVSHU UQ : V WKHTXPDAW FRSGRV XP HQVHL U\WD\VLWLQJ
KWWS ZZZ LUV JRY
- v ,I \RX DQG RU \RXU SDUHQW GRM GLOSHD GHQW VVWVHQW EXW UHFHLYHG
DQG RU \RXU SDUHQW GRM GLOSHD GHQW VVWVHQW IRU MRJJ IRUP
- v ,I \RXU SDUHQW V DZ FRLOD KDMXUQHGH QRW UHTXL306 GWR RG RXV LWKH
YHULILFDWLRQ LQLOLQJ OHWWH LXWRPUWKH IRUHLJQ WD\
&KDQJHV WR ILQDQ GHDKH HWRV XE RRUWGH EHWKURXJK WKLW WDOHQW R\XV

)RU ,QGSHQGHW VWXGHQW

- v 6XEPLW WKH HQWQGHSDG DUG 9HULILFDWLRQ ZRUN
- v 6XEPLW D VLJQHG FRSL WD\ UHWXUQ SDQG DFCR DUH: XQ D EOXUWR ORFDW
5HWXUQ RUV FRX XHTW FRSLHG FRFXPHQWV WLQJ KWWS ZZZ LUV JRY
- v ,I \RX RU \RXU VSRXVH G SDG RRUWGH EOHG DFCR PD\UHQ SDG RRUWGH N
1RQ IJLQ
- v ,I \RX RU \RXU VSRXVH ZRXOG SDG RRUWGH EOHG DFCR ZRUR FRLOD KDMXUQHGH LUXEG LWR DG R
YHULILFDWLRQ LQLOLQJ WHURUREJQ WD\ DXWKRULW\
&KDQJHV FRLOD KDMXUQHGH WK HWRV XE RRUWGH EHWKURXJK WKLW WDOHQW R\XV

3OHDVH QRWH 7KH 2IILFH RI)LQDQFLDO \$VVLVWDQFH PD\ UHTXHVW DGGLWL